

RI FINANCIAL MANAGEMENT SERVICES (PTY) LTD

LETTER OF APPOINTMENT



Please use Acrobat Reader to complete the form

CLIENT INFORMATION

Full Names

Surname

Known As

Maiden Name

ID Number

Date of Birth

Passport Number

Citizenship

Contact Details

Work Number

Home Number

E-mail

Cell Number

Postal Address

Physical Address

On behalf of:

Company Name

Company Reg Number

Trust or Estate

Trust Number

I acknowledge the following:

Sound and proper financial advice can only be provided with full disclosure of relevant information for the purpose of determining and advising on my financial situation and financial product experience and objectives.

I also understand that RI Financial Management Services (PTY) Ltd must comply with FICA and POPI and they will only use my information provided to be able to provide the requested services.

RI Financial Management Services (PTY) Ltd and staff, acknowledge that in the course of rendering services in terms of this authority, they shall come into possession of information of a confidential nature. No staff shall at any time use or disclose or allow third parties to use or disclose any of my confidential information except with my written permission.

Yes / No

I also confirm that I have been given the Introduction and Disclosure letter of RI Financial Management Services (PTY) Ltd.

I accordingly confirm:

FICA PEP Status - Politically Exposed Persons, Domestic Prominent Influential Person, Foreign Prominent Public Official. I am identified or is a close associate or family member or relationship with a Politically Exposed Person and or Prominent person.

I am a beneficial owner within a legal entity (Company) directly or in-directly.

I herewith give consent:

For the purposes of providing the said sound and proper financial advice to me that full permission and authority is granted to:

RI FINANCIAL MANAGEMENT SERVICES (PTY) LTD (FSP 47004)

PTN 2 Farm Spokie, Hartbeesfontein, Hekpoort

082 342 4848

Key Individual - Ilse de Klerk

Employees

Ilse de Klerk

-

ilse@rfin.co.za

General Admin

-

admin@rfin.co.za

TO all relevant Financial Institutions, Short-Term Insurers and Medical Schemes with whom I do have a policy, investment, account or contract to release information to above mentioned. My information would be used to enable RI Financial Management Services to provide advice and related services to me.

I also consent for RI Financial Management Services (PTY) Ltd to utilize The Financial Services Exchange (Pty) Ltd, trading as **ASTUTE**, to be used in gathering information from product providers through a mechanism for the transmission of such information.

I also consent for RI Financial Management Services (PTY) Ltd to utilize **WinDeed & WinCredit** in gathering and confirming my information relating to my Verification, Valuation, Consumer Credit Information and other WinDeed & WinCredit searches.

In relation to **short-term services**, I give consent that ITC (Consumer Credit Information) and ENATIS checks may be performed.

I also give consent that my Short-Term Portfolio which would include: Full set of my current schedule/s, Claims / Loss history report, Renewal Terms / Rates / premiums / excesses will be made available to RI Financial Management Services (Pty) Ltd.

APPOINTMENT

I appoint RI Financial Management Services (PTY) Ltd as my FSP and advisors, Representative to provide me with the necessary services.

I have a Service Level Agreement with RI Financial Management Services (PTY) Ltd to provide me with continued services. To render the contracted services RI Financial Management Services (PTY) Ltd would need my information from ALL RELEVANT PROVIDERS and for that reason my information must be released to RI Financial Management Services (PTY) Ltd with or without RI Financial Management Services (PTY) Ltd having broking agreements in place with the product provider or institution.

In the event of a potential claim - Death, Severe Illness, to mention some, with my insurance and investments, RI Financial Management Services (FSP) will assist me or my family with product providers, where needed until such time of my recovery or in the event of my death, the Executor Letter or Magistrate Authority Letter has been issued.

To the best of my knowledge, companies where my policies, investments, accounts or contracts are held:

I agree that on all my investments that a

ongoing advice fee must be re-directed to RI Financial Management Services

Signature for APPOINTMENT

Place

and Date



Your Signature