

# RI FINANCIAL MANAGEMENT SERVICES (PTY) LTD

## LETTER OF AUTHORITY & INVESTIGATION



Please use Acrobat Reader to complete the form

### CLIENT INFORMATION

Full Names

Surname

Known As

Maiden Name

ID Number

Date of Birth

Passport Number

Citizenship

#### Contact Details

Work Number

Home Number

E-mail

Cell Number

Postal Address

Physical Address

#### On behalf of:

Company Name

Company Reg Number

Trust or Estate

Number

#### I acknowledge the following:

Sound and proper financial advice can only be provided with full disclosure of relevant information for the purpose of determining and advising on my financial situation and financial product experience and objectives.

I also understand that RI Financial Management Services (PTY) Ltd must comply with FICA and POPI and they will only use my information provided to be able to provide the requested services.

#### I accordingly confirm:

Yes / No

FICA PEP Status - Politically Exposed Persons, Domestic Prominent Influential Person, Foreign Prominent Public Official. **I am** identified or is a close associate or family member or relationship with a Politically Exposed Person and or Prominent person.

**I am** a beneficial owner within a legal entity (company) directly or in-directly.

#### I herewith give consent:

For the purposes of providing the said sound and proper financial advice to me that full permission and authority is granted to:

RI FINANCIAL MANAGEMENT SERVICES (PTY) LTD (FSP 47004)

PTN 2 Farm Spokie, Hartbeesfontein, Hekpoort

082 342 4848

Key Individual - Ilse de Klerk

Employees

Ilse de Klerk

-

ilse@riffin.co.za

General Admin

-

admin@riffin.co.za

TO all relevant Financial Institutions, Long-Term Insurers, Short-Term Insurers, Collective Investments Schemes, Pension Funds and Medical Schemes with whom I do have a policy, investment, account or contract to release my information to above mentioned. My information would be used to enable RI Financial Management Services to provide advice and related services to me.

I also consent for RI Financial Management Services (PTY) Ltd to utilize The Financial Services Exchange (Pty) Ltd, trading as **ASTUTE**, to be used in gathering information from product providers through a mechanism for the transmission of such information.

I also consent for RI Financial Management Services (PTY) Ltd to utilize **WinDeed & WinCredit** in gathering and confirming my information relating to my Verification, Valuation, Consumer Credit Information and other WinDeed & WinCredit searches.

In relation to **short-term services**, I give consent that ITC (Consumer Credit Information) and ENATIS checks may be performed.

I also give my consent / authorisation to RI Financial Management Services (PTY) Ltd to obtain my Short-Term Portfolio which would include:

\* Full set of my current schedule/s

\* Claims / Loss history reports

\* Renewal terms / rates / premiums / excesses.

to enable RI Financial Management Services (PTY) Ltd to investigate my current portfolio and suitability.

RI Financial Management Services (PTY) Ltd and staff, acknowledge that in the course of rendering services in terms of this authority, they shall come into possession of information of a confidential nature. No staff shall at any time use or disclose or allow third parties to use or disclose any of my confidential information except with my written permission.

I also confirm that I have been given the Introduction and Disclosure letter of RI Financial Management Services (PTY) Ltd.

#### Signature for AUTHORISATION

Authorisation will only be for a period of 6 months after signature date.

To the best of my knowledge I have policies, investments, accounts or contracts with:

Place of Signature

and Date

Your Signature

